

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**(Case No. 99,216-H)**

JC903 U.S. PTO  
09/865879  
05/25/01

In the Application of:	)	
	)	
Roninson <i>et al.</i>	)	Before the Examiner:
	)	
Serial No.	)	
	)	Group Art Unit:
Filed: May 25, 2001	)	
	)	
For: Reagents and Methods for Identifying and	)	
Modulating Expression of Genes Regulated by Retinoids	)	

**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, KEVIN E. NOONAN, do declare and state as follows:

1. I have had prepared a Sequence Listing in paper and computer-readable forms in accordance with 37 C.F.R. §§ 1.821-1.825 in connection with the above-captioned patent application, both of which are being submitted herewith.

2. I hereby state that, to the best of my knowledge, the contents of the paper and computer-readable copies of the Sequence Listing are the same.

3. I declare further that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff

Date: May 25, 2001

By: \_\_\_\_\_

Kevin E. Noonan, Ph.D.  
Reg. No. 35,303

## Immunology/Plant Pre-examination Checklist

**Serial Number:**

04 865879

□

## REQUEST TO STIC

## REQUEST TO STIC

**N/A YES NO**

- A. 1 ☐ ☒ ☐ Sequence In Cas
- a. ☒ ☒ ☐ Paper Copy of Sequence Present
- b. ☒ ☒ ☐ Statement that Disk and Paper Copy are the Same
- 2 ☐ ☒ ☐ 2964 CRF Entered
- 3 ☐ ☒ ☐ Sequence Complies with Sequence Rules (If No see Attached Letter)
- 4 ☐ ☒ ☐ CRF entered in parent
- 5 ☐ ☐ ☒ Figures seen by draftsman
- 6 ☐ ☒ ☐ Abstract
- 7 ☐ ☒ ☐ Oath/Declaration in file
- 8 ☐ ☒ ☐ Oath/Declaration signed by all applicants
- 9 ☐ ☒ ☐ Oath/Declaration includes all residences
- 10 ☒ ☒ ☐ Oath/Declaration includes Foreign continuity Data
- 11 ☐ ☒ ☐ Oath/Declaration includes US continuity Data
- 12 ☐ ☐ ☒ Corrected Filing Receipt Requested

**B. Examiner – Please check to determine if the following are required:**

- 1 ☐ ☒ ☐ Restriction Requirement
- 2 ☐ ☐ ☒ Sequences in claims not in compliance with sequence rules and may be required to search case

**If any of part B above are necessary, please act on and complete within 15 days.**

Forward

**Reviewers Name**